

**UTILITY PATENT APPLICATION TRANSMITTAL**  
**(Large Entity)**

*(Only for new nonprovisional applications under 37 CFR 1.53(b))*

Docket No.  
 13768.449

Total Pages in this Submission

03945 U.S. PT  
 10/697916  
 102903

**TO THE COMMISSIONER FOR PATENTS**

Mail Stop Patent Application  
 P.O. Box 1450  
 Alexandria, VA 22313-1450

Transmitted herewith for filing under 35 U.S.C. 111(a) and 37 C.F.R. 1.53(b) is a new utility patent application for an invention entitled:

**PRE-LICENCING OF RIGHTS MANAGEMENT PROTECTED CONTENT**

and invented by:

John Gerard Speare  
 Malcolm H. Davis  
 Peter D. Waxman  
 Marco A. DeMello  
 Christopher F. Graham  
 Jason M. Cahill

If a **CONTINUATION APPLICATION**, check appropriate box and supply the requisite information:

Continuation    Divisional    Continuation-in-part (CIP)   of prior application No.: \_\_\_\_\_

Which is a:

Continuation    Divisional    Continuation-in-part (CIP)   of prior application No.: \_\_\_\_\_

Which is a:

Continuation    Divisional    Continuation-in-part (CIP)   of prior application No.: \_\_\_\_\_

Enclosed are:

**Application Elements**

1.  Filing fee as calculated and transmitted as described below
  
2.  Specification having Thirty-Nine (39) pages and including the following:
  - a.  Descriptive Title of the Invention
  - b.  Cross References to Related Applications (*if applicable*)
  - c.  Statement Regarding Federally-sponsored Research/Development (*if applicable*)
  - d.  Reference to Sequence Listing, a Table, or a Computer Program Listing Appendix
  - e.  Background of the Invention
  - f.  Brief Summary of the Invention
  - g.  Brief Description of the Drawings (*if filed*)
  - h.  Detailed Description
  - i.  Claim(s) as Classified Below
  - j.  Abstract of the Disclosure

**UTILITY PATENT APPLICATION TRANSMITTAL**  
**(Large Entity)**

*(Only for new nonprovisional applications under 37 CFR 1.53(b))*

Docket No.  
13768.449

Total Pages in this Submission

**Application Elements (Continued)**

3.  Drawing(s) *(when necessary as prescribed by 35 USC 113)*  
a.  Formal Number of Sheets Eleven (11)  
b.  Informal Number of Sheets \_\_\_\_\_

4.  Oath or Declaration  
a.  Newly executed *(original or copy)*  Unexecuted  
b.  Copy from a prior application (37 CFR 1.63(d)) *(for continuation/divisional application only)*  
c.  With Power of Attorney  Without Power of Attorney  
d.  DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named in the prior application,  
see 37 C.F.R. 1.63(d)(2) and 1.33(b).

5.  Incorporation By Reference *(usable if Box 4b is checked)*  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under  
Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby  
incorporated by reference therein.

6.  CD ROM or CD-R in duplicate, large table or Computer Program (Appendix)

7.  Application Data Sheet (See 37 CFR 1.76)

8.  Nucleotide and/or Amino Acid Sequence Submission *(if applicable, all must be included)*  
a.  Computer Readable Form (CRF)  
b.  Specification Sequence Listing on:  
i.  CD-ROM or CD-R (2 copies); or  
ii.  Paper  
c.  Statement(s) Verifying Identical Paper and Computer Readable Copy

**Accompanying Application Parts**

9.  Assignment Papers *(cover sheet & document(s))*

10.  37 CFR 3.73(B) Statement *(when there is an assignee)*

11.  English Translation Document *(if applicable)*

12.  Information Disclosure Statement/PTO-1449  Copies of IDS Citations

13.  Preliminary Amendment

14.  Return Receipt Postcard (MPEP 503) *(Should be specifically itemized)*

15.  Certified Copy of Priority Document(s) *(if foreign priority is claimed)*

16.  Certificate of Mailing  
 First Class  Express Mail *(Specify Label No.):* EV32931752US

**UTILITY PATENT APPLICATION TRANSMITTAL**  
**(Large Entity)**

*(Only for new nonprovisional applications under 37 CFR 1.53(b))*

Docket No.  
13768.449

Total Pages in this Submission

**Accompanying Application Parts (Continued)**

17.  Additional Enclosures (please identify below):

**Request That Application Not Be Published Pursuant To 35 U.S.C. 122(b)(2)**

18.  Pursuant to 35 U.S.C. 122(b)(2), Applicant hereby requests that this patent application not be published pursuant to 35 U.S.C. 122(b)(1). Applicant hereby certifies that the invention disclosed in this application has not and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication of applications 18 months after filing of the application.

**Warning**

*An applicant who makes a request not to publish, but who subsequently files in a foreign country or under a multilateral international agreement specified in 35 U.S.C. 122(b)(2)(B)(i), must notify the Director of such filing not later than 45 days after the date of the filing of such foreign or international application. A failure of the applicant to provide such notice within the prescribed period shall result in the application being regarded as abandoned, unless it is shown to the satisfaction of the Director that the delay in submitting the notice was unintentional.*

# UTILITY PATENT APPLICATION TRANSMITTAL

## (Large Entity)

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No.  
13768.449

Total Pages in this Submission

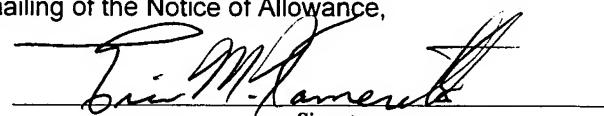
### Fee Calculation and Transmittal

#### CLAIMS AS FILED

For	#Filed	#Allowed	#Extra	Rate	Fee
Total Claims	44	- 20 =	24	x \$18.00	\$432.00
Indep. Claims	4	- 3 =	1	x \$86.00	\$86.00
Multipl Dependent Claims (check if applicable)	<input type="checkbox"/>				\$0.00
				<b>BASIC FEE</b>	\$770.00
OTHER FEE (specify purpose)		Assignment			\$40.00
				<b>TOTAL FILING FEE</b>	\$1,328.00

Form PTO-2038 is enclosed in the amount of \$1,328.00  
 The Director is hereby authorized to charge and credit Deposit Account No. 23-3178  
 as described below.

Charge the amount of \_\_\_\_\_ as filing fee.  
 Credit any overpayment.  
 Charge any additional filing fees required under 37 C.F.R. 1.16 and 1.17.  
 Charge the issue fee set in 37 C.F.R. 1.18 at the mailing of the Notice of Allowance,  
 pursuant to 37 C.F.R. 1.311(b).



Eric M. Kamerath  
 Attorney for Applicants  
 Registration No. 46,081

Customer No. 022913

Dated: October \_\_\_\_\_, 2003

cc:

**CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)**

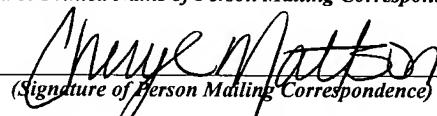
Applicant(s): John Gerard Speare et al.

Docket No.

13768.449

Serial No.  
Not Yet AssignedFiling Date  
October 29, 2003Examiner  
Not Yet AssignedGroup Art Unit  
Not Yet AssignedInvention: **PRE-LICENSING OF RIGHTS MANAGEMENT PROTECTED CONTENT**I hereby certify that this Patent Application and other documents as listed below*(Identify type of correspondence)*

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: Director of the United States Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450 on

October 29, 2003*(Date)***Cheryl Mattson***(Typed or Printed Name of Person Mailing Correspondence)***EV329631752US***("Express Mail" Mailing Label Number)***022913**PATENT TRADEMARK OFFICE  
CUSTOMER NO.

Note: Each paper must have its own certificate of mailing.

Transmitted: Patent Application (39 pgs.)  
Eleven (11) Sheets of Formal Drawings  
Form PTO-2038 for \$1,328  
Declaration, Power of Attorney and Petition (7 pgs.)  
Assignment with Form PTO-1595 Cover Sheet (9 pgs.)  
Information Disclosure Statement  
Form PTO-1449 Listing Thirteen (13) References  
Copies of the Listed References  
Information Disclosure Statement Transmittal (2 pgs.)  
Application Transmittal Letter (4 pgs.)  
Postcard